

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Eric A. Johnson et al. **Examiner:** Unassigned
Serial No: To be assigned **Art Unit:** Unassigned
Filed: Herewith **Docket:** END920010133US1 (15171)
For: PASSIVE ALIGNMENT OF
VSCELs TO WAVEGUIDES IN
OPTO-ELECTRONIC CARDS
AND PRINTED CIRCUIT BOARDS **Dated:** 10/24/2003

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

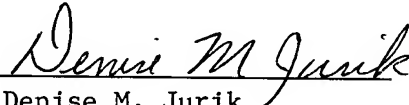
1. U.S. Patent No. 6,222,967 B1, dated April 24, 2001, issued to Amano et al;
2. U.S. Patent No. 6,164,837, dated December 26, 2000, issued to Haake et al;

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"Express Mail" Mailing Label Number: **ET 921224365 US**
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I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: 10/24/2003

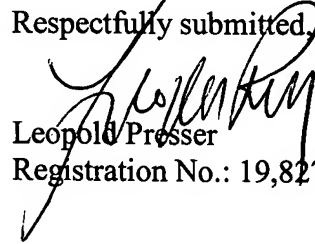

Denise M. Jurik

3. U.S. Patent No. 6,125,043, dated September 26, 2000, issued to Hauer et al;
4. U.S. Patent No. 5,857,049, dated January 5, 1999, issued to Beranek et al;
5. U.S. Patent No. 5,661,831, dated August 26, 1997 issued to Sasaki et al;
6. U.S. Patent No. 5,631,988, dated May 20, 1997 issued to Swirhun et al;
7. U.S. Patent No. 5,449,312, dated March 12, 1996 issued to Hahn et al;
8. U.S. Patent No. 5,420,9540, dated May 30, 1995 issued to Swirhun et al;
9. U.S. Patent No. 4,892,337, dated January 9, 1990 issued to Randle;
11. European Patent Appln. No. EP 1 120 673 A1, dated August 1, 2001;
12. Japanese Publication No. JP2000056189A, dated February 25, 2000 together with English-language Abstract;
13. Japanese Publication No. JP2000022279A, dated January 21, 2000 together with English-language Abstract;
14. Japanese Publication No. JP8179154A, dated June 26, 1996, together with English-language Abstract; and
15. Japanese Publication No. JP1140104A, dated February 22, 1989, together with English-language Abstract;

Applicants are submitting a copies of the above-cited references.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,


Leopold Presser
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LIST OF PRIOR ART CITED BY APPLICANT

(Use several sheets if necessary)

Atty. Docket No.
END920010133US1 (15171)

Serial N .
Unassigned

Applicant
Eric A. Johnson, et al.

Filing Date
Herewith

Group
Unassigned

U.S. PATENT DOCUMENTS

EXAMINER INITIAL*		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (If appropriate)
	AA	6,222,967 B1	4/24/01	Amano, et al.			
	AB	6,164,837	12/26/00	Haake, et al.			
	AC	6,125,043	9/26/00	Hauer, et al.			
	AD	5,857,049	1/5/99	Beranek, et al.			
	AE	5,661,831	8/26/97	Sasaki, et al.			
	AF	5,631,988	5/20/97	Swirhun, et al.			
	AG	5,449,312	3/12/96	Hahn, et al.			
	AH	5,420,954	5/30/95	Swirhun, et al.			
	AI	4,892,337	1/9/90	Randle			

		Foreign Document Number	Date	Country	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		EP 1 120 673 A1	8/1/01	EPO				
		JP2000056189A	2/25/00	JAPAN				
		JP2000022279A	1/21/00	JAPAN				
		JP8179154A	6/26/96	JAPAN				
		JP1140104A	2/22/89	JAPAN				

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.